

# Genetic Modification for Immune Evasion

Stephen Gottschalk



**Methodist** The Methodist Hospital System



**BCM**  
Baylor College of Medicine

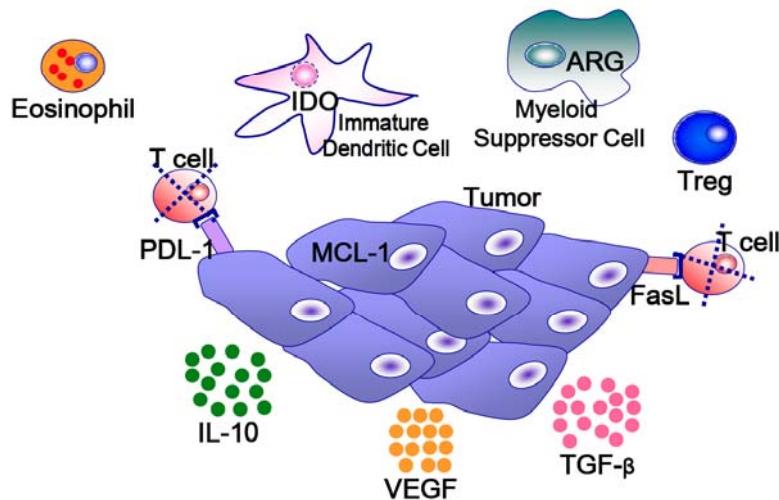


Texas Children's Hospital

## T-cell Therapy

'T-cell Therapy has shown promise in clinical studies'

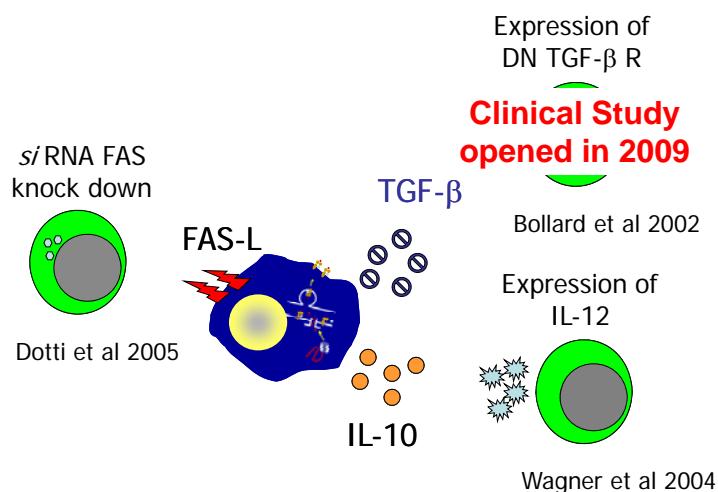
## Suboptimal T-cell products: sensitive to the immunosuppressive Tumor environment



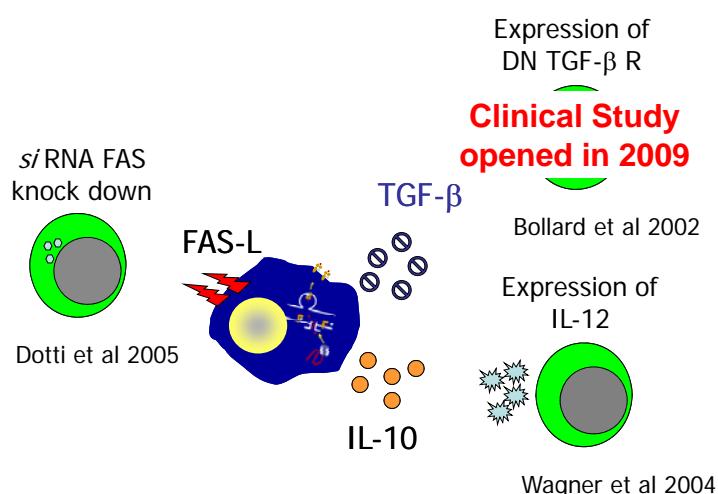
## Genetic Modification of T cells

- Render T cells resistant
  - to immune evasion mechanisms employed by tumors
- Improve T-cell function
  - cytokines/cytokine receptors
  - anti-apoptosis genes
  - silencing negative regulators .....
- Antigen-specific T cells
  - Chimeric antigen receptors (CAR)
  - $\alpha\beta$  TCR

## Tumor cells secrete factors to inhibit immune cells

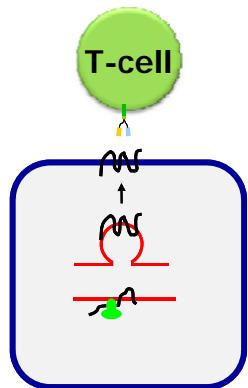


## Tumor cells have MHC processing defects & antigen expression is heterogeneous

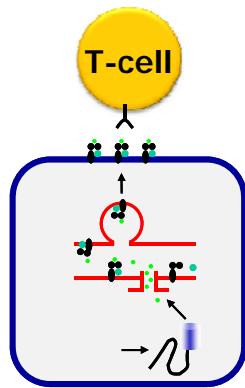


## CAR vs $\alpha\beta$ TCR T cells

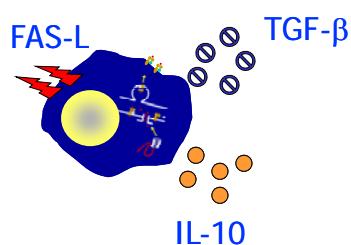
CAR T cells



$\alpha\beta$  TCR T cells



## CAR vs $\alpha\beta$ TCR T cells



## CAR T cells to overcome immune evasion

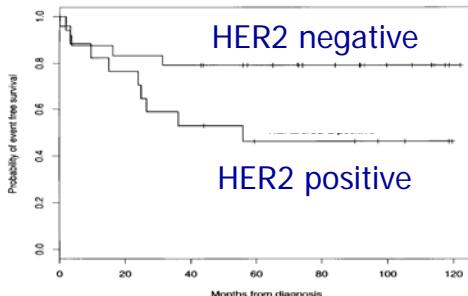
- Targeting cell surface antigens
- Targeting multiple antigens
- Targeting inhibitory stromal cells

## Rationale for immunotherapy for osteosarcoma

- Prognosis remains poor for patients with
  - Metastatic disease (< 20% survival)
  - Central tumors (< 35% survival)
  - Relapsed disease
- Significant acute toxicities
- Long-term effects
  - Disability after surgery

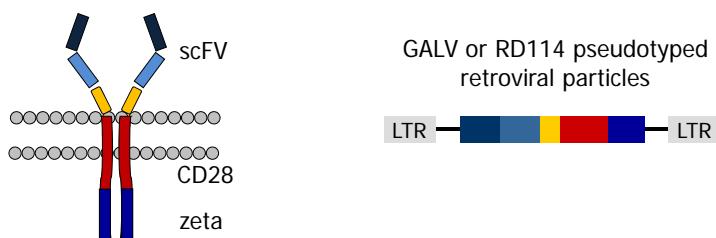
## HER2 expression and prognosis

- Member of the EGFR family of receptors
- Expressed by up to 80% of primary osteosarcoma
- Important for malignant phenotype
- Worse overall survival rates



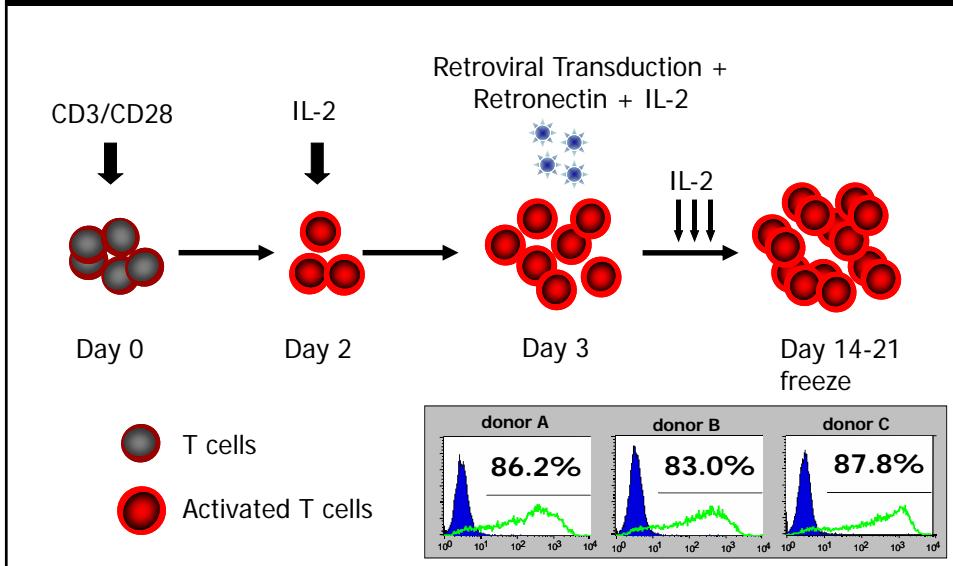
(Gorlick et al. JCO 1999)

## 2<sup>nd</sup> Generation HER2-specific CAR

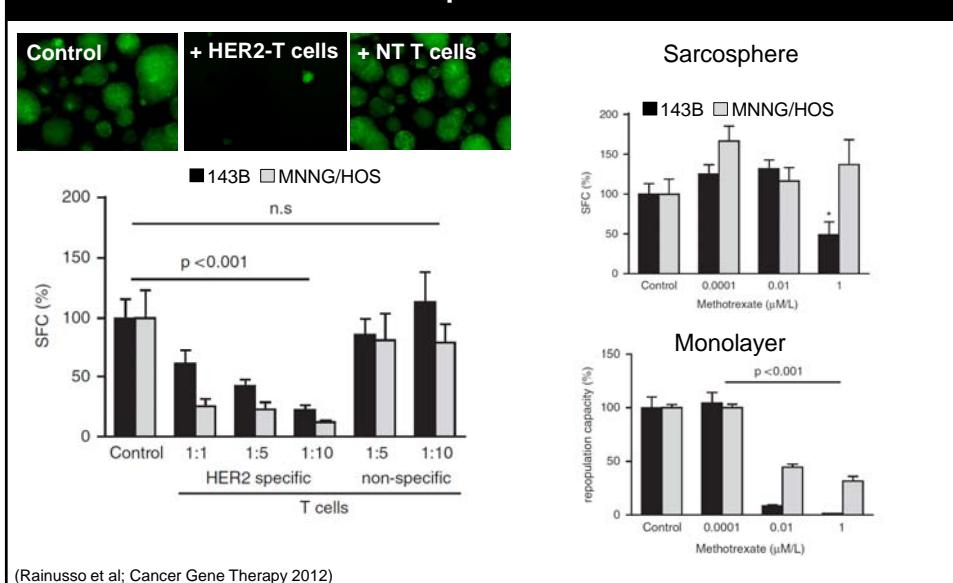


(Pule et al, Mol Ther 2005; Ahmed et al, Mol Ther 2009)

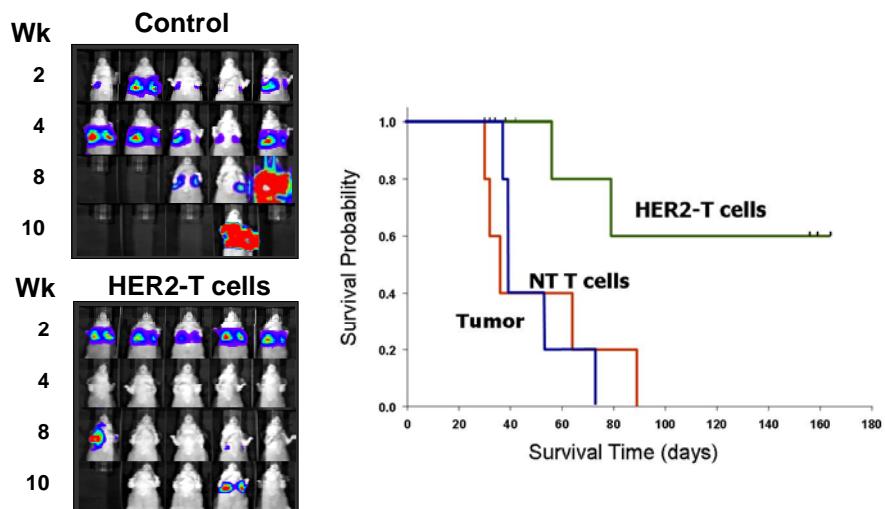
## Generation of CART cells by retroviral transduction



## HER2-CAR T cells inhibit 2<sup>nd</sup>ary Sarcosphere formation



## Antitumor activity in metastatic Lung Model



## Safety concerns with HER2-CAR T cells

- 6 patients have received 'conventional' HER2-specific T cells  $1 - 4 \times 10^{10}$  cells with no side effects
- 2 patients have received HER2-CAR T cells
  - 1<sup>st</sup> :  $2 \times 10^7$  cells: no immediate toxicity
  - 2<sup>nd</sup>:  $1 \times 10^{10}$  cells post nonmyeloablative conditioning: developed ARDS and died

(Bernhard et al Cancer Immunol Imm 2008, Disis et al JCO 2009, Morgan et al Mol Thr 2010)

## T-cell Therapy for HER2-positive Osteosarcoma

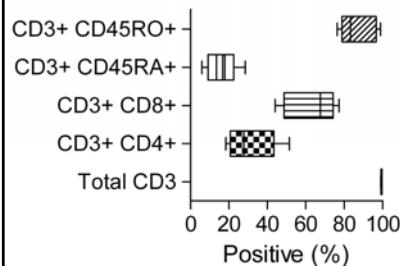
- To determine the safety and antitumor activity of HER2-CAR T cells
- 9 Dose levels: **1x10<sup>4</sup>** to 1x10<sup>8</sup> cells/m<sup>2</sup>

## Characteristics of infused patients

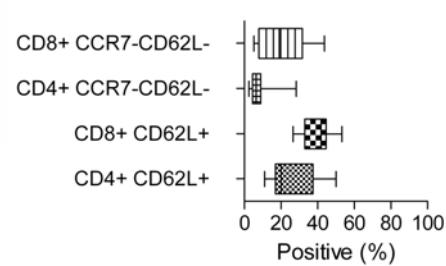
- 9 females, 6 males
- Median age 17.3 (7 – 29)
- 13 OS, 1 EWS, 1 DSCRT
- Disease status
  - 11 lung mets
  - 1 lung and bone mets
  - 1 lung and extraosseous mets
  - 1 Liver mets
- All patients had failed multiple lines (>4) of salvage therapy

## Phenotype of GMP grade HER2-CAR T-cells

Frequency of  
CD4 & CD8 T-cell subsets

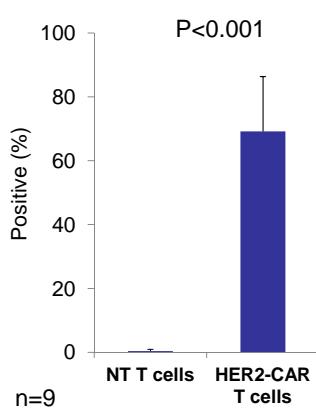


Frequency of CD3+CD45RO+  
Effector & Central memory T cells

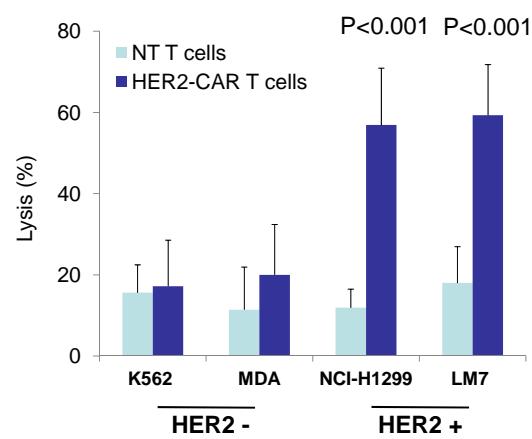


## Characterization of GMP grade HER2-CAR T-cells from OS patients

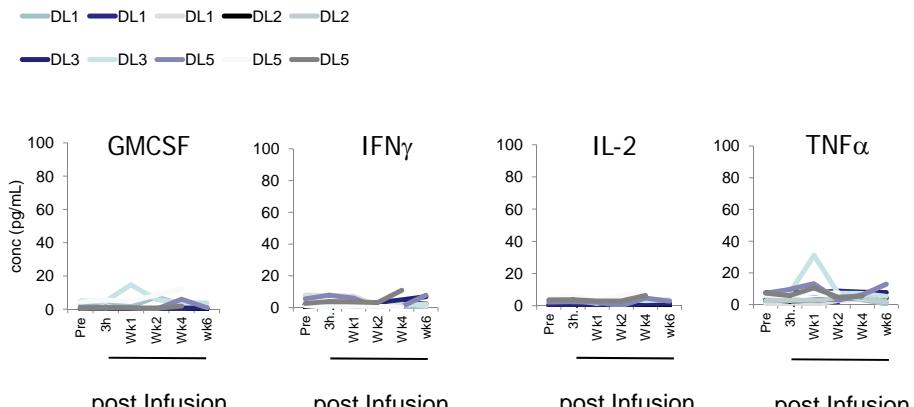
Transduction  
Efficiency



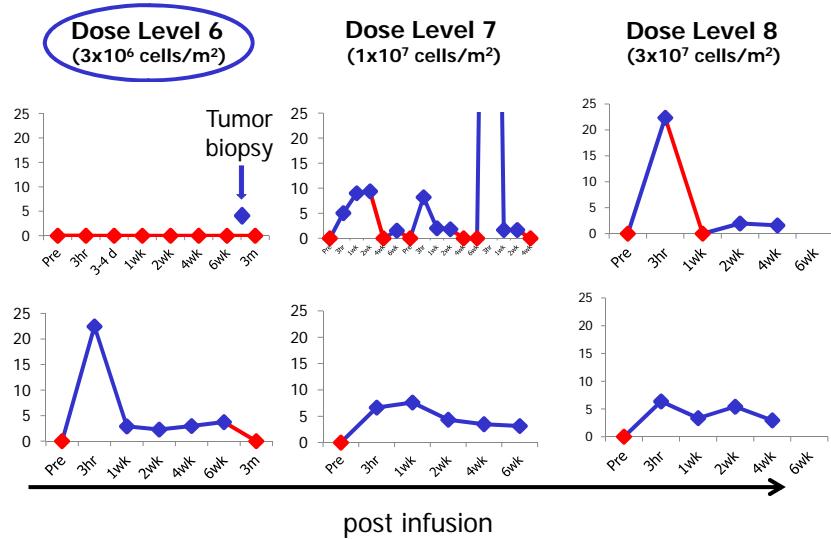
Cytotoxicity



## No increase in pro-inflammatory cytokines post infusion

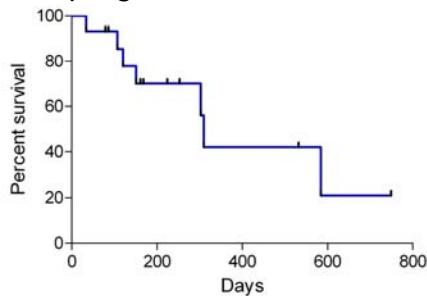


## In vivo persistence of adoptively transferred HER2-CAR T cells



## Clinical Outcome

- 4 patients with stable disease
  - 1 patient for 6 weeks (then had tumor resected)
  - 1 patient for 12 weeks (then had tumor resected)
  - 1 patient for 4 months
  - 1 patient for 5½ months (ongoing)
- 11 patients with progressive disease



## Conclusions: T-cell Therapy for sarcoma

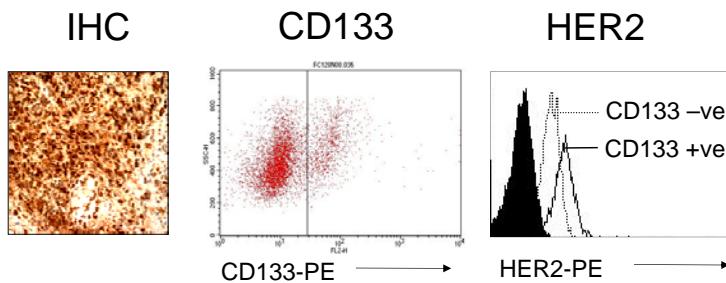
- HER2-CAR T cells
  - Have antitumor activity in preclinical models
- Adoptive transfer of HER2-CAR T cells in humans
  - Evaluated cells doses safe (up to  $3 \times 10^7/\text{m}^2$ )
  - Limited T-cell persistence
- Plan
  - Clinical: Escalate HER2-CAR T-cell dose to  $1 \times 10^8/\text{m}^2$
  - Preclinical: Improve CAR; better preclinical models

## CAR T cells to overcome immune evasion

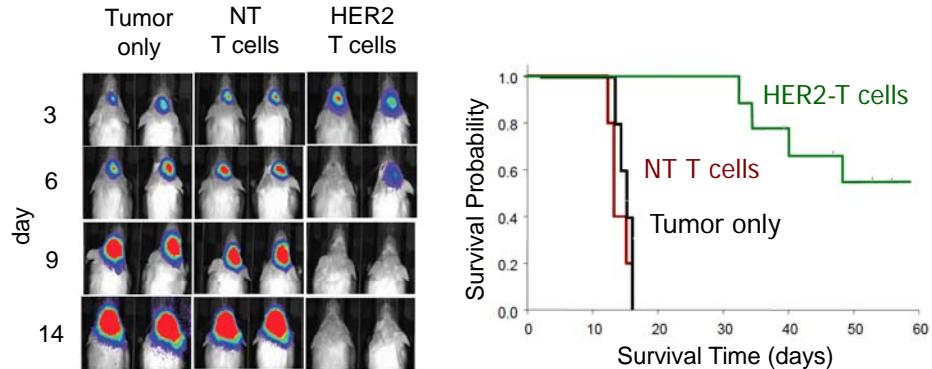
- Targeting cell surface antigens
- Targeting multiple antigens
- Targeting inhibitory stromal cells

## HER2 as an Immunotherapy target for GBM

- ~ 70% of GBM positive HER2
- HER2
  - promotes malignant phenotype
  - expressed in CD133+ glioma initiating cell population



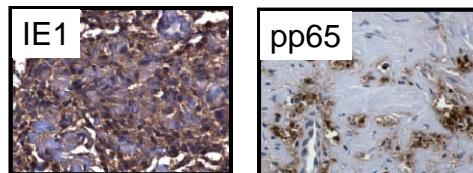
## HER2-specific T cells induce regression of autologous GBM



(Ahmed et al, Clin Can Res 2010)

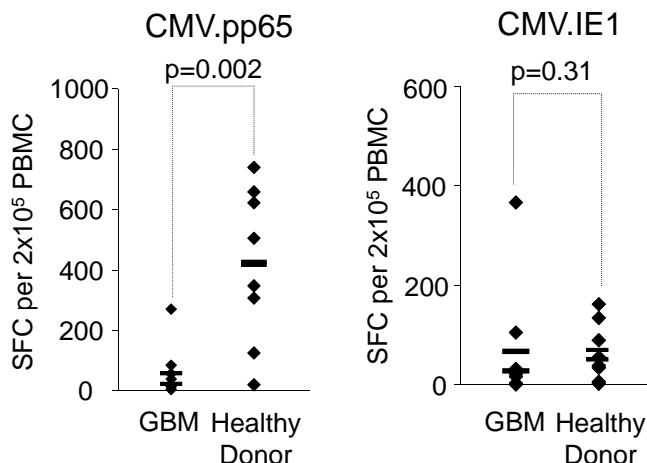
## CMV as an Immunotherapy target for GBM

- Majority of GBMs are positive for CMV IE1 and pp65



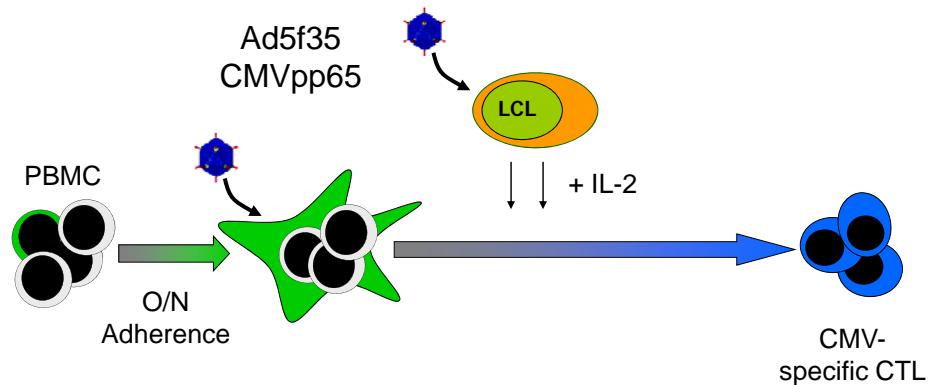
- Phase II adjuvant pp65/DC vaccine study:
  - Safe
  - Induction of pp65-specific T-cell responses
  - Prolonged survival in comparison to historic controls

## GBM patients have decreased T-cell responses to pp65



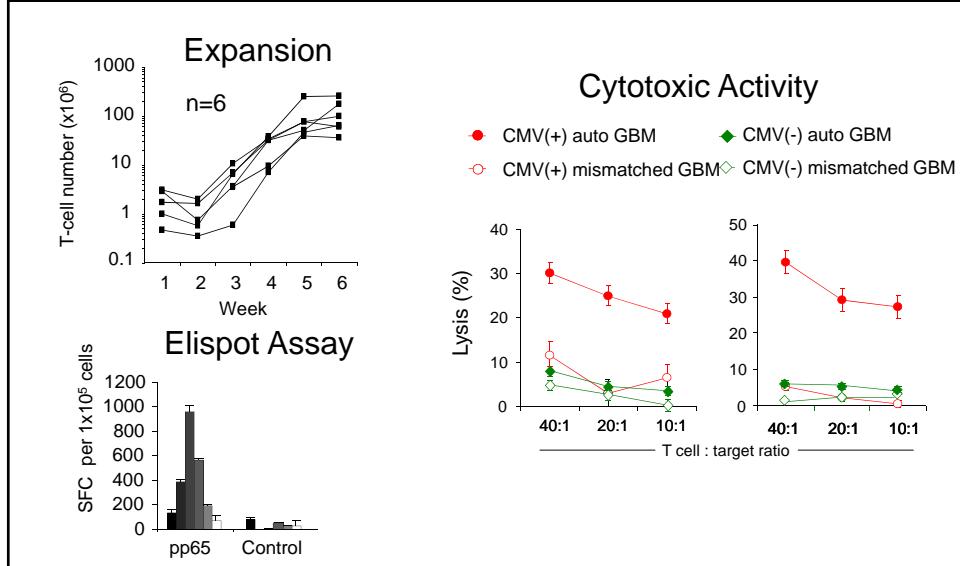
(Ghazi et al, JIT 2012)

## Generation of CMV-specific CTL using Ad5f35 Vectors

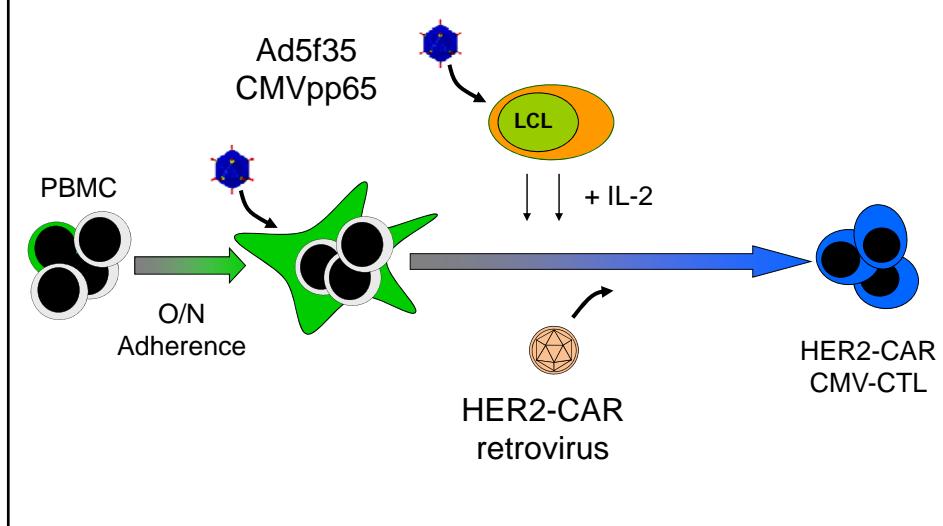


(Leen et al, Nat Med 2006)

## Generation of CMV-specific T cells from GBM patients

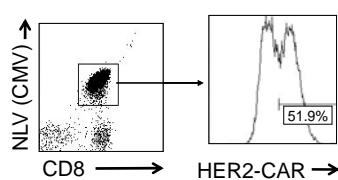


## Generation of HER2-CAR CMV-specific CTL

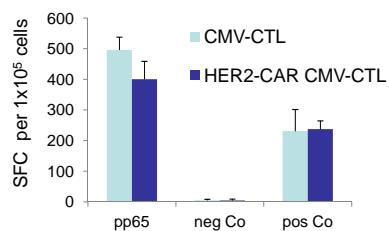


## Characterization of HER2-CAR CMV-specific T cells

### HER2-CAR expression

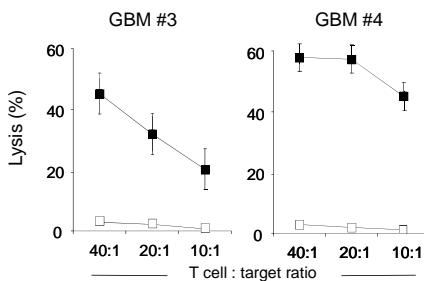


### Elispot Assay



### Cytotoxic Activity

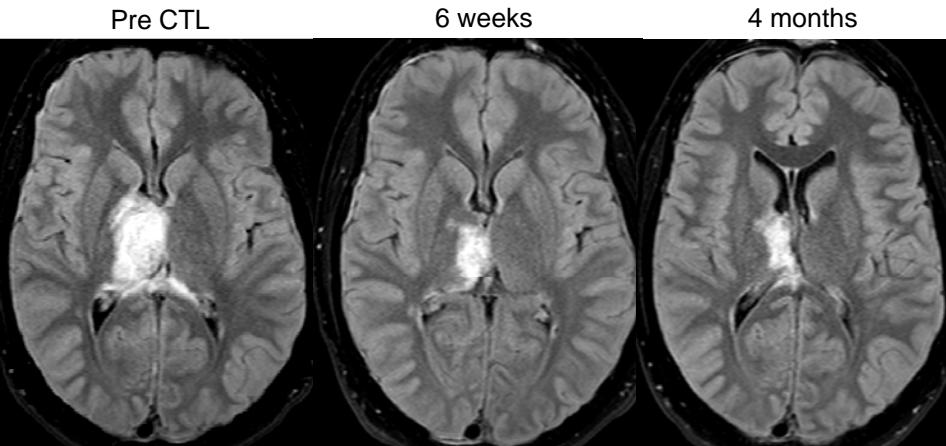
■ HER2(+) autologous GBM  
□ HER2(-) MDA-MB-468



## Characteristics & Outcome of infused patients

- 4 patients with recurrent GBM
- Cell dose:  $1 \times 10^6 / m^2$
- Outcome:
  - 2 progressive disease
  - 1 stable disease for 4½ months
  - 1 partial response

## Clinical response post T-cell infusion



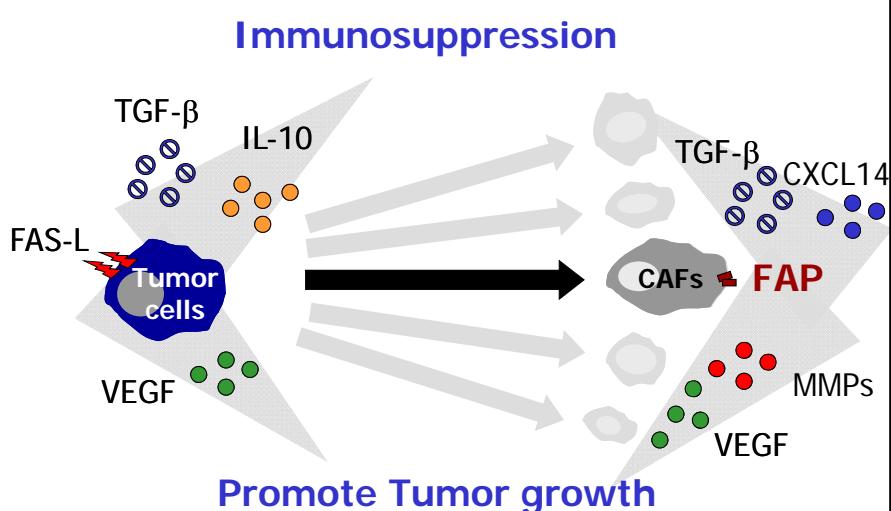
## Conclusions: T-cell Therapy for GBM

- HER2 and CMV antigens are expressed in GBM
- Generation of clinical grade HER2/CMV-specific T cells is feasible
- Clinical Trial:
  - Safe data so far encouraging
- Plan:
  - Escalate HER2/CMV-specific T-cell dose to  $1 \times 10^8 / m^2$

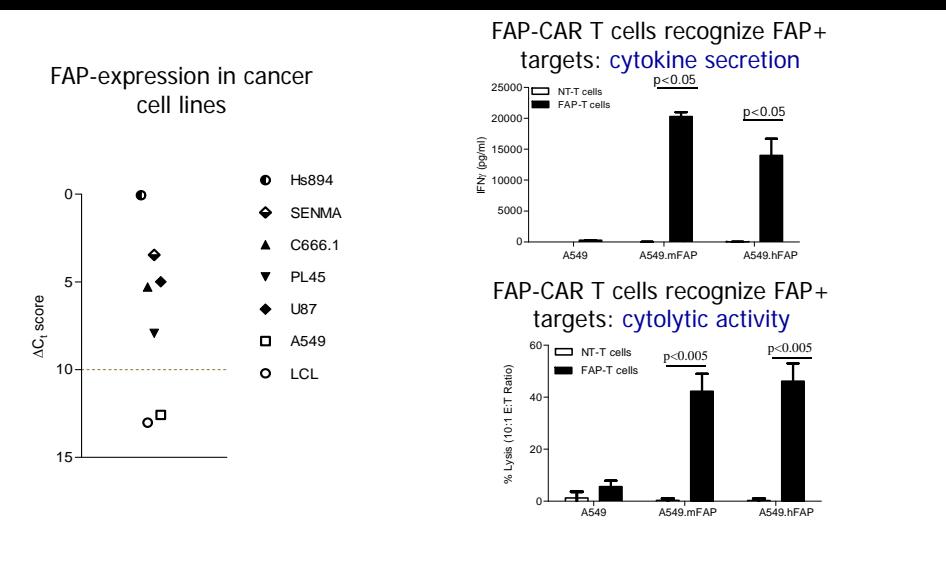
## CAR T cells to overcome immune evasion

- Targeting cell surface antigens
- Targeting multiple antigens
- Targeting inhibitory stromal cells

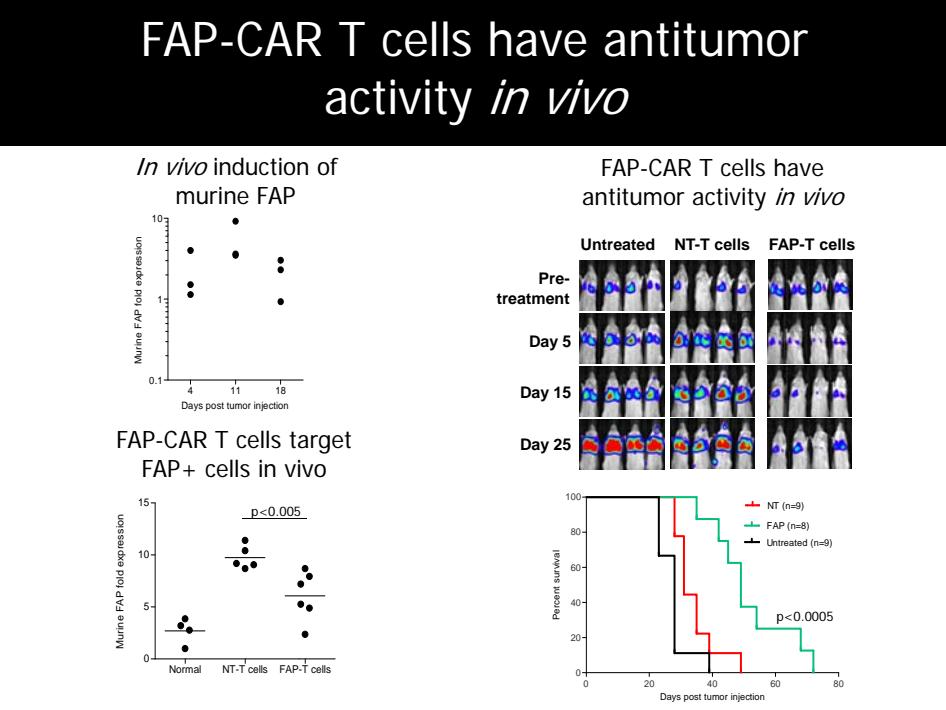
## CAFs play critical role in tumorigenesis



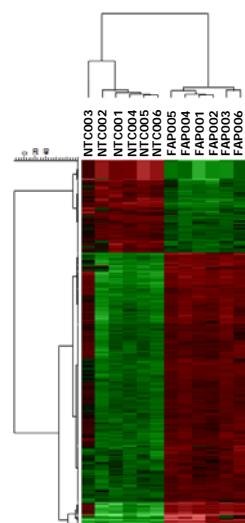
## Characterization of FAP-CAR T cells



## FAP-CAR T cells have antitumor activity *in vivo*



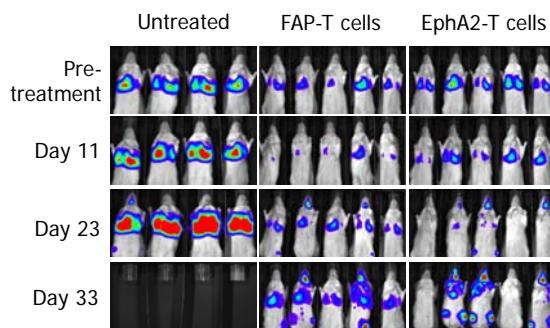
## Targeting CAFs induces expression of pro inflammatory genes



Pathway analysis - enrichment of genes involved in

- chemokine & cytokine-cytokine receptor interaction
- toll-like receptor pathway
- natural killer cell mediated cytotoxicity
- Jak-STAT pathway
- B-cell receptor signaling
- antigen processing and presentation pathway
- complement and coagulation cascades

## Combining FAP-CAR T cells with tumor-specific T cells enhances antitumor effects



## Conclusions: Targeting tumor stroma

- CAFs contribute to immunosuppressive tumor environment
- Targeting CAFs results in antitumor effects and induces expression of pro-inflammatory genes
- Targeting CAFs and tumor cells results in enhanced antitumor effects

## Conclusions

Tumors pursue a gamut of immune evasion mechanisms

BUT

Genetic modifications holds the promise to engineer T cells that are resistant to these ploys

# Acknowledgements

## Go Lab

Sunitha Kakarla  
Claudia Gerken  
Johanna Yi  
Mamta Kalra  
Melinda D'Souza  
Simone Krebs  
Tania Rodriguez Cruz  
Kato Iwahori  
David Torres  
LaTerrica Williams  
Chris DeRenzo  
Paulina Velasquez

## GMP Facility

Adrian Gee  
Zhuyong Mei  
Oumar Diouf  
Humin Zhang  
Vita Brawley

## CAGT

Nabil Ahmed

Gianpietro Dotti  
Malcolm Brenner  
Helen Heslop  
Cliona Rooney

## Clinical Research

Bambi Grilley  
Bridget Medina  
Catherine Perera  
Enli Liu  
Tara Gray

## Collaborators

HER2-CAR  
Winfried Wels  
**Osteosarcoma patients**  
Pete Anderson, Lisa Wang  
**Osteosarcoma stem cells**  
Nino Rainusso, Jeff Rosen  
**GBM**  
Robert Grossman, Murali Chintagumpala, Jack Su  
**Pathology**  
John Hicks, Suzanne Powell